

WHERE SHOULD I SEND MY COMPLETED FORM?

Click [here](#) to find access to a contact list containing the address for your State or EPA Regional Office where you should send your completed Site ID Form. The list contains contact names, addresses, phone numbers, and e-mail addresses that you can use to obtain additional information.

Many States use the forms included in this document; some also require additional information. Other States require that you complete and submit a State-specific form. Information about which form to use is included with the contact list located at the web page noted above. Even if you use the included form, you should check with your State to determine if you need to submit additional information. Also, contact your State if you have any questions about your submission.

After your completed Site ID Form for Obtaining an EPA Identification number or for Obtaining an Electronic Manifest Broker is received and processed, you will be sent a written acknowledgement that will include your EPA Identification Number. You must use this number on all communications with the EPA regarding your regulated waste activities for this site.

INSTRUCTIONS FOR FILLING OUT THE RCRA SUBTITLE C SITE IDENTIFICATION FORM

Type or print, in black ink, an "X" in all items that apply (if "Yes", type or print an "X" in the "Y" box, if "No", type or print an "X" in the "N" box) and then type or print an "X" in all other boxes that apply. In Item 19, provide the required ink signatures. Signatures must be original. Stamped or photocopied signatures are not acceptable. Enter your site's EPA Identification Number in the top left-hand corner on all pages of the form; for an initial notification for this site, leave the EPA identification Number blank. Use Item 18 – Comments to clarify or provide additional information for any entry. When entering information in the comments section, enter the item number and box letter to which the comment refers. If you must use additional sheets for comments, enter your site's EPA Identification Number in the top left-hand corner of each sheet.

ITEM 1 – REASON FOR SUBMITTAL

Place an "X" in the appropriate box to indicate whether you are submitting this form to obtain or update an EPA ID Number for an on-going regulated activity; as a component of the Hazardous Waste Report; to notify that regulated activity is no longer occurring at your site; to obtain or update an EPA ID Number for conducting electronic manifest broker activities; or as a component of a First or a Revised Hazardous Waste Part A Permit Application.

OBTAINING OR UPDATING AN EPA ID NUMBER FOR AN ON-GOING REGULATED ACTIVITY THAT WILL CONTINUE FOR A PERIOD OF TIME. (INCLUDES HSM ACTIVITY)

- If your waste activity is regulated under Subtitle C of the Resource Conservation and Recovery Act (RCRA) and the rules promulgated pursuant to the Act (specifically 40 CFR Parts 260-299), you must submit this form to notify the appropriate State or EPA Regional Office of your regulated waste activities and **obtain an EPA Identification Number**.

- If you are an eligible academic entity opting into 40 CFR Part 262, Subpart K for managing laboratory hazardous wastes **AND** you have never submitted site identification information, you must submit this form to notify the appropriate State or EPA Regional Office of your activities.

NOTE

You must check with your State to determine if you are eligible to manage laboratory hazardous waste pursuant to 40 CFR Part 262, Subpart K in order for you to notify.

- If you are a recognized trader arranging for export or import of hazardous waste, including those managed under the alternate standards of 40 CFR Parts 266 and 273; or an exporter or importer of spent lead acid batteries (SLABs), you must submit this form to notify the appropriate State or EPA Regional Office of your activities.
- You must use this form to **submit a subsequent notification** if your site already has an EPA Identification Number and you wish to change information (e.g., generator status, new site contact person, new owner, new mailing address, new regulated waste activity, etc.).
- If you have previously submitted site identification information and are notifying (or re-notifying) that you will begin managing, are managing, or have stopped managing hazardous secondary material under 40 CFR 260.30, 40 CFR 261.4(a)(23), (24), or (27), you must submit this form, pursuant to 40 CFR 260.42, to notify the appropriate State or Regional Office of your activities.

NOTE

You must check with your State to determine if you are eligible to manage hazardous secondary material under these exclusions in order for you to notify.

- If you are a very small quantity generator (VSQG), previously called conditionally exempt small quantity generator (CESQG), taking advantage of the episodic generation provision at 40 CFR 262.232 (a), you must submit this form to report your episodic event.
- You must use this form to if you are a small quantity generator (SQG) re-notifying, beginning in 2021 and every four (4) years thereafter, unless a state program has more frequent reporting/notification requirements (See 40 CFR 262.18).
- You must use this form if you are a large quantity generator (LQG):
 - Consolidating wastes from VSQGs under the control of the same person. (See 40 CFR 262.17 (f)). Such LQGs must complete the Addendum to the Site Identification Form: LQG Consolidation of VSQG Hazardous Waste, and identify the RCRA Identification Number of the VSQG (if applicable), name, address, emergency contact phone number and contact name of every VSQG that they are receiving hazardous wastes from.
 - Closing either a waste accumulation unit (optional) or their facility, both prior to closing and after conducting closure performance operations (See 40 CFR 262.17 (a)(8)).

SUBMITTING AS A COMPONENT OF THE HAZARDOUS WASTE REPORT

If you are required to submit a Hazardous Waste Report indicating the amount of hazardous waste you generate, treat, recycle, dispose, on-site or ship off-site for subsequent treatment, recycling and disposal, or receive from off-site, you must fill out this form. A Site ID Form submitted with a Hazardous Waste Report is equivalent to a subsequent notification.

- *Site was a TSD facility and/or generator of $\geq 1,000$ kg of hazardous waste, > 1 kg of acute hazardous waste, or > 100 kg of acute hazardous waste spill cleanup in one or more months of the report year (or State Equivalent LQG regulations)*

The purpose of this check box is to distinguish between sites that meet the criteria and are required to file a report versus those who file voluntarily or by State-only requirement but were not a treatment, storage, and disposal facility (TSDF) or a Large Quantity Generator (LQG) during the report year. Sites required to file the report should place an "X" in this box, while non-LQG/TSDF sites should not. For more information about who must file a report, refer to the [Who Must File a Hazardous Waste Report](#) section.

NOTIFYING THAT REGULATED ACTIVITY IS NO LONGER OCCURRING AT YOUR SITE

If you are no longer conducting ANY RCRA Subtitle C federal or state regulated hazardous waste activities, listed on the Site Identification Form, then you can use this Reason for Submittal to deactivate your EPA ID number.

OBTAINING OR UPDATING AN EPA ID NUMBER FOR CONDUCTING ELECTRONIC MANIFEST BROKER ACTIVITIES

If you are requesting an EPA ID in order to create and broker manifest transactions for handlers of hazardous waste, then select this Reason for Submittal. An Electronic Manifest Broker is considered a user of the electronic manifest system that has a contractual relationship and elects to use the system to obtain, complete and transmit an electronic manifest form supplied by the EPA electronic manifest system for handlers of hazardous wastes. This designation is for users of the electronic manifest system, defined in 40 CFR 260.10 as a person that elects to use the system to obtain, complete and transmit an electronic manifest format supplied by the EPA electronic manifest system.

SUBMITTING A NEW OR REVISED PART A (PERMIT) FORM

If your site is planning to treat, store, or dispose of hazardous waste on-site in a unit that is not exempt from obtaining a hazardous waste permit, you must submit this form as a component of the Part A Permit Application. Also, if the activity at this site (treatment, storage, or disposal) became newly regulated under RCRA Subtitle C and the rules promulgated pursuant to the Act (specifically 40 CFR Parts 260-299), you must submit this form as part of the Part A Permit Application. Also, you must submit a Revised Part A Permit Application to reflect changes that have occurred at your site, you must submit this form as part of your Revised Part A Permit Application.

ITEM 2 – SITE EPA ID NUMBER

Provide your EPA Identification Number in Item 2 **for this site**. The first two characters of the EPA Identification Number must be a valid State postal code. Be sure to include your EPA Identification Number at the top of all pages of the form (as well as on any attachments to the Site ID Form).

NOTE If this is your initial notification for this site, leave the EPA Identification Number blank and proceed to Item 3.

ITEM 3 AND 4 – SITE NAME AND SITE LOCATION ADDRESS

Provide the legal name of your site and a complete location address. Please note that the address you give for Item 4, Site Location Address, must be a physical address, not a post office box or route number. Only foreign hazardous waste transporters, with their headquarters located outside the U.S., may provide a Site Location Country outside of the U.S.

NOTE A new EPA Identification Number is **required** if you change the location of your site

ITEM 5 – SITE MAILING ADDRESS

Provide the Site Mailing Address. If the Mailing Address and the Site Location Address (Item 4) are the same, you can check the "Same as Location Address" checkbox.

ITEM 6 – SITE LAND TYPE

Place an "X" in the box that **best describes** the land type of your site. Select only one type: Private, County, District, Federal, Tribal (see below), Municipal, State, or Other. If your site's Land Type could be described as Municipal **and** another Land Type, such as County, District, or Tribal, do not place an "X" in Municipal. Instead, choose the other appropriate Land Type. (For example, if your site's Land Type is both Municipal and County, you would place an "X" in the box for County.) You may explain this in Item 18 – Comments.

Tribal—The land which your site is on belongs to one of the tribes/entities on the list of Federally recognized American Indian tribes and Alaskan Native entities located at:
<http://www.epa.gov/tribal/wherelive/tribes-a-z.htm>.

ITEM 7 – NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS) CODE(S)

Box A (Primary) must be completed. Completing Boxes B-D is recommended, if applicable.

BOX A

Provide the North American Industry Classification System (NAICS) code that best describes your site's **primary** business production process for your products or services. Referencing the latest version of

NAICS codes, use the 6-digit code (most specific description) if available for your business; if not, use the 5-digit code; do not enter any four (4) or less digit codes.

Check with your accounting or business staff to determine your NAICS code(s); the NAICS code is used in tax reporting and other business reports. You can obtain additional information about NAICS codes at <http://www.census.gov/eos/www/naics>.

BOXES B – D

List other NAICS codes that describe the other business production processes for your site. Referencing the latest version of NAICS codes, use the 6-digit code (most specific description) if available for your business; if not, use the 5-digit code; do not enter any four (4) or less digit codes.

NOTE	The Census Bureau has published NAICS Code effective January 1, 2017. Please verify that your NAICS codes are still applicable. You can obtain additional information about the 2017 NAICS codes at: http://www.census.gov/eos/www/naics
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ITEM 8 – SITE CONTACT INFORMATION

Enter the name, title, business address, e-mail address, telephone number, extension, and fax number of the individual who should be contacted regarding the information submitted in the Site ID Form. A subsequent notification is recommended when the Site Contact Person changes. **Do not** enter other contact persons here; if there are other persons, who may be contacted about this submission, list them and their contact information in Item 18 – Comments. If the person completing this form is not the primary site RCRA hazardous waste contact, enter the primary site RCRA hazardous waste contact here and add the contact information for the person completing the form in Item 18 – Comments.

NOTE	This is NOT the Facility Permit Contact's information. The Facility Permit Contact information should be entered on the RCRA Hazardous Waste Part A Permit Application.
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ITEM 9 – LEGAL OWNER AND OPERATOR OF THE SITE

This section should be used to indicate all owners and operators of this site. If your Reason for Submittal is for an Electronic Manifest Broker whose site of business is an office only, and you do not otherwise physically generate, treat, store, recycle or dispose of hazardous waste on site, you do not have to fill out this item.

A. NAME OF SITE'S LEGAL OWNER

Provide the name of your site's legal owner(s). This includes owner(s) of the building(s) and land. Please review these definitions:

Owner – The person who owns a RCRA site or part of a RCRA site. **Note:** This includes the owner(s) of the building(s) and/or land. This may be an individual, company, or business name. See **Person**.

Person – An individual, trust, firm, joint stock company, Federal Agency, corporation (including a government corporation), partnership, association, State, municipality, commission, political subdivision of a State, or any interstate body.

DATE BECAME AN OWNER

Indicate the date on which the above entity became the owner of your site. Enter dates as in this example: For April 22, 2015, enter 04/22/2015. This is optional information.

OWNER TYPE

Place an "X" in the box that **best describes** the owner type for your site. Select only one type: Private, County, District, Federal, Tribal (see below), Municipal, State, or Other. If your site's Owner Type could be described as Municipal **and** another Owner Type, such as County, District, or Tribal, do not place an "X" in Municipal. Instead, choose the other appropriate Owner Type. (For example, if your site's Owner Type is both Municipal and County, you would place an "X" in the box for County.) You may explain this in Item 18 – Comments.

Tribal - A member of one of the tribes/entities on the list of Federally recognized American Indian tribes and Alaskan Native entities located at: <http://www.epa.gov/tribal/wherelive/tribes-a-z.htm>.

LEGAL OWNER ADDRESS

Enter the address of the legal owner. If the address and the Location of Site (Item 4) are the same, you can check the "Same as Location Address" checkbox.

ADDITIONAL OWNER INFORMATION

Enter the e-mail, telephone number, extension, and fax number of the legal owner.

Use the Comments section to list any additional owners, their names, the dates they became owners, owner type, mailing address, and which owner(s), if any, are no longer owners since your last submission of this form. If necessary, attach a separate sheet of paper. Remember to enter your site's EPA Identification Number in the top left-hand corner of each sheet.

B. NAME OF SITE'S LEGAL OPERATOR

Provide the name of your site's operator. Please review these definitions:

Operator – The person responsible for the overall operation of a RCRA site. **Note:** This is the legal entity which controls the RCRA site operation rather than the plant or site manager. This is usually a company or business name, but may be an individual. See **Person**.

Person – An individual, trust, firm, joint stock company, Federal Agency, corporation (including a government corporation), partnership, association, State, municipality, commission, political subdivision of a State, or any interstate body.

DATE BECAME AN OPERATOR

Indicate the date on which the above entity became the operator of your site. Enter dates as in this example: For April 22, 2015, enter 04/22/2015. This is optional information.

OPERATOR TYPE

Place an "X" in the box that **best describes** the operator type for your site. Select only one type: Private, County, District, Federal, Tribal (see below), Municipal, State, or Other. If your site's Operator Type could be described as Municipal **and** another Operator Type, such as County, District, or Tribal, do not place an "X" in Municipal. Instead, choose the other appropriate Operator Type. (For example, if your site's Operator Type is both Municipal and County, you would place an "X" in the box for County.) You may explain this in Item 18 – Comments.

Tribal - A member of one of the tribes/entities on the list of Federally recognized American Indian tribes and Alaskan Native entities located at: <http://www.epa.gov/tribal/wherelive/tribes-a-z.htm>.

LEGAL OPERATOR ADDRESS

Enter the address of the legal operator. If the address and the Location of Site (Item 4) are the same, you can check the "Same as Location Address" checkbox.

ADDITIONAL OPERATOR INFORMATION

Enter the e-mail, telephone number, extension, and fax number of the operator.

Use the Comments section to list any additional operators, their names, the dates they became operators, operator type, mailing address, and which operator(s), if any, are no longer operators since your last submission of this form. If necessary, attach a separate sheet of paper. Remember to enter your site's EPA Identification Number in the top left-hand corner of each sheet.

NOTE

A subsequent notification is recommended when the owner or operator of a site changes. Because an EPA Identification Number is site-specific, the new owner will keep the existing EPA Identification Number for that location. If your business moves to another location, the owner or operator must notify the State or EPA Regional Office of this change. Since your business has changed locations, a new EPA Identification Number will be assigned.

ITEM 10 – TYPE OF REGULATED WASTE ACTIVITY (AT YOUR SITE)

Place an "X" in box "Y" or box "N" as appropriate for all **current** activities at this site (**as of the date submitting the form**); complete any additional boxes as instructed. **Current** activities mean activities that are in effect when the form is submitted or those that the site plans to begin after EPA Identification Number assignment. The information you provide in Item 10 will be considered current as of the date you certify the form. If the site is no longer a generator as of the date you certify the form, you should mark the "N" (not a generator) box for Generator of Hazardous Waste.

10.A HAZARDOUS WASTE ACTIVITIES

NOTE Listed below are the Federal generator status definitions. If, however, the State where your site is located has definitions different from the Federal definitions, you must use the State definitions.

10.A.1. GENERATOR OF HAZARDOUS WASTE

If you generate a hazardous waste that is listed in 40 CFR 261.31 through 261.33 or identified by one or more hazardous waste characteristic(s) contained in 40 CFR 261.21 through 261.24, place an “X” in the appropriate box for the quantity of hazardous waste that is generated per calendar month. The regulations for hazardous waste generators are found in 40 CFR Part 262—specifically 40 CFR 262.14 for very small quantity generators (VSQGs), 40 CFR 262.16 for small quantity generators (SQGs), and 40 CFR 262.17 for large quantity generators (LQGs). Consult these regulations and your State for details about how the regulations apply to your situation. Below is a brief description of the three types of hazardous waste generators.

If “Yes”, place an “X” in only one of the following – a, b, or c. Otherwise, place an “X” in the “N” box.

a. LQG: Large Quantity Generator

For purposes of providing information in this report, the site is a Large Quantity Generator (LQG) if the site generates any of the following amounts in a calendar month:

- (i) Generates, in any calendar month, (including quantities imported by importer site) 1,000 kilograms (2,200 pounds) or more of non-acute RCRA hazardous waste; **or**
- (ii) Generates, in a calendar month, more than 1 kilogram (2.2 pounds) of any RCRA acute hazardous waste listed in sections 261.31 or 261.33(e); **or**
- (iii) Generates, in any calendar month, more than 100 kilograms (220 pounds) of residue or contaminated soil, waste, or other debris resulting from the cleanup of a spill, into or on any land or water, of any RCRA acute hazardous waste listed in sections 261.31 or 261.33(e).

NOTE If, in addition to being a LQG, you recycle hazardous wastes at your site, mark both this box and Item 10.A.6. Hazardous secondary material managed under 40 CFR 260.30, 40 CFR 261.4(a)(23), (24), or (27) DOES NOT count towards your generator status. However, you must check with your State to determine if you are eligible to manage hazardous secondary material under these exclusions.

b. SQG: Small Quantity Generator

This site is a SQG if the site meets **all** of the following criteria:

- (i) Generates, in any calendar month, greater than 100 kilograms (220 pounds) but less than 1,000 kilograms (2,200 pounds) of non-acute hazardous waste; **and**
- (ii) Generates, in any calendar month, less than or equal to 1 kilogram (2.2 pounds) of acute hazardous waste listed in sections 261.31 or 261.33(e); **and**

- (iii) Generates, in any calendar month, less than or equal to 100 kilograms (220 pounds) of any residue or contaminated soil, water, or other debris resulting from the cleanup of a spill, into or on any land or water, of any acute hazardous waste listed in sections 261.31 or 261.33(e).

c. VSQG: Very Small Quantity Generator:

This site is a VSQG if the site meets **all** of the following criteria:

- (i) Generates in any calendar month, less than or equal to 100 kilograms (220 pounds) of hazardous waste; **and**
- (ii) Generates in any calendar month, less than or equal to 1 kilogram (2.2 pounds) of acute hazardous wastes listed in sections 261.31, or 261.33(e); **and**
- (iii) Generates in any calendar month, less than or equal to 100 kilograms (220 pounds) of any residue or contaminated soil, waste, or other debris resulting from the cleanup of a spill, into or on any land or water, of any acute hazardous wastes listed in sections 261.31, or 261.33(e).

10.A.2. SHORT-TERM GENERATORS

Place an **“X”** in the **“Y”** box if the site is normally not a generator of hazardous waste, but is currently generating hazardous waste only as the result of a one-time, non-recurring, temporary event that is not related to normal production processes. In other words, short-term generators produce hazardous waste from a particular activity for a limited time and then cease conducting that activity. Short-term generators are not considered episodic generators because episodic generators (i.e., VSQGs and SQGs) regularly generate hazardous waste as part of their operations, but elevate to a higher generator category as a result of a planned or unplanned event. Examples of short-term generators include: (1) one-time highway bridge waste generation; (2) underground storage tank removals; (3) generation of off-specification or out-of-date chemicals at a site that normally doesn't generate hazardous waste; (4) remediation or spill clean-up at sites with no previous RCRA EPA Identification Number; and (5) site or production process decommissions by a new operator. If you mark **“Y”**, you must provide an explanation of your short-term generation event in Item 18 –Comments. Otherwise, place an **“X”** in the **“N”** box.

10.A.3. MIXED WASTE GENERATOR

Place an **“X”** in the **“Y”** box if you are a generator of mixed waste (waste that is both hazardous and radioactive). Otherwise, place an **“X”** in the **“N”** box. RCRA defines **“mixed waste”** as waste that contains both hazardous waste and source, special nuclear, or by-product material subject to the Atomic Energy Act (AEA), RCRA Section 1004(41), 42 U.S.C. 6903 (63 FR 17414; April 9, 1998).

10.A.4. TREATER, STORER, OR DISPOSER OF HAZARDOUS WASTE

If you treat, store, or dispose of hazardous waste, place an **“X”** in the **“Y”** box. A RCRA Hazardous Waste Part B Permit is **required** for this activity. Contact the appropriate office for your State for more information. The Federal regulations for owners and operators of permitted treatment, storage, and disposal facilities (TSDFs) are found in 40 CFR Parts 264, 265, 266, and 270.

Place an **“X”** in the **“N”** box if any of the following conditions are true for your facility:

- This facility does not receive hazardous waste from other generators and ships all waste off-site for management within the regulatory timeframe.
- This facility is only involved with on-going post-closure activities, corrective actions under the Hazardous and Solid Waste Amendments of 1984 (HSWA), or a consent order under a non-traditional permit or without a RCRA permit being required.
- Receives waste from off-site but does not store greater than 10 days before re-shipping (i.e., transfer facility).

NOTE If your site is a destination facility for universal wastes in addition to being a TSDF for other RCRA hazardous wastes, place an "X" in the "Y" box for both this box and Item 11.B.2.

10.A.5. RECEIVES HAZARDOUS WASTE FROM OFF-SITE

If you received hazardous waste from another site, whether this waste was received as a commercial transaction or waste received from a restricted group of off-site generators, **place an "X" in the "Y" box.** Otherwise, **place an "X" in the "N" box.** Item 10.A.5 "Receives Hazardous Waste from Off-site" is not for transfer facilities. If you are a transfer facility receiving hazardous waste from off-site, you should mark item 11.A.1.b (Transfer Facility).

10.A.6. RECYCLER OF HAZARDOUS WASTE

Place an "X" in the "Y" box if you recycle regulated hazardous wastes (recyclable materials) at your site. Otherwise, **place an "X" in the "N" box.** If you mark "Y", then mark the subsequent box that identifies whether you recycle regulated hazardous wastes, with or without storage prior to recycling. The Federal regulations for owners and operators of sites that recycle hazardous waste are found in 40 CFR 261.6. You also may be subject to other Federal and State regulations; in some cases, a permit is required.

NOTE The 2016 Hazardous Waste Generator Improvements Final Rule requires that both facilities that do store prior to recycling and facilities that do not store prior to recycling submit a Hazardous Waste Report.

If your site, in addition to being a recycling site for hazardous waste, treats, stores, or disposes of hazardous waste, place an "X" in the "Y" box for both this box and Item 10.A.4. If your site is a destination facility for universal wastes in addition to being a recycling site for other RCRA hazardous wastes, place an "X" in the "Y" box for both this box and Item 11.B.2.

10.A.7. EXEMPT BOILER AND/OR INDUSTRIAL FURNACE

If “Yes”, place an “X” in all that apply. Otherwise, place an “N” in the “N” box.

a. Small Quantity On-Site Burner Exemption

You burn small quantities of hazardous waste in an on-site boiler or industrial furnace in accordance with the conditions in 40 CFR 266.108, place an “X” in the box to indicate that you qualify for the Small Quantity On-Site Burner Exemption.

b. Smelting, Melting, and Refining Furnace Exemption

You process hazardous wastes in a smelting, melting, or refining furnace solely for metals recovery, as described in 40 CFR 266.100(d), or to recover economically significant amounts of precious metals, as described in 40 CFR 266.100(g), or if you process hazardous wastes in a lead recovery furnace to recover lead, as described in 40 CFR 266.100(h), place an “X” in the box to indicate that you qualify for the Smelting, Melting, and Refining Furnace Exemption.

10.B. WASTE CODES FOR FEDERALLY REGULATED HAZARDOUS WASTES

Please list the waste codes of the Federal hazardous wastes (described in 40 CFR Part 261) handled at your site. List them in the order they are presented in the regulations using the appropriate 4-digit code(s) (e.g., D001, D003, F007, U112).

NOTE	If you handle more hazardous wastes than will fit under Item 10.B, please continue under Item 18 – Comments or on an extra sheet. Remember to include your EPA Identification Number on the top of each page. If you handle a large number of codes, you may copy the list in this document and mark the ones that you handle. Attach any additional sheets to the Site ID Form. Remember to include your EPA Identification Number on the top of each page.
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LIST	Click here for a list of the nationally-defined Hazardous Waste Codes.
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10.C. WASTE CODES FOR STATE-REGULATED (NON-FEDERAL) HAZARDOUS WASTES

If you manage State-regulated hazardous wastes that have a State waste code, enter the appropriate code(s) in the box(es) provided. Please list the waste codes of the State-regulated hazardous wastes handled at your site in the order they are presented in the regulations.

NOTE	If you handle more hazardous wastes than will fit under Item 10.C, please continue under Item 18 – Comments or on an extra sheet. Remember to include your EPA Identification Number on the top of each page.
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ITEM 11– ADDITIONAL REGULATED WASTE ACTIVITIES

11.A OTHER WASTE ACTIVITIES

Place an **“X”** in the **“Y”** or **“N”** box as appropriate for all additional current regulated waste activities at this site (as of the date submitting the form); complete any additional boxes as instructed. **Current** activities mean activities that are in effect when the form is submitted or those that the site plans to begin after EPA Identification Number assignment. The information you provide in Item 11 will be considered current as of the date you certify the form

11.A.1. TRANSPORTER OF HAZARDOUS WASTE

If **“Y”**, place an **“X”** in all that apply. Otherwise, place an **“X”** in the **“N”** box.

a. Transporter

You transport hazardous waste within the U.S. The Federal regulations for hazardous waste transporters are found in 40 CFR Part 263.

b. Transfer Facility

You are a hazardous waste transfer facility, at your site, if you hold manifested hazardous waste(s) at your site for a period of ten (10) days or less while the waste is in transit. The Federal regulations for hazardous waste transfer facilities are found in 40 CFR 263.12.

11.A.2. UNDERGROUND INJECTION CONTROL

If you generate, treat, store, or dispose of hazardous waste and place the waste or its residuals into an underground injection well (e.g., a Class I well) located at your site, place an **“X”** in the **“Y”** box. Otherwise, place an **“X”** in the **“N”** box. The Federal regulations for owners and operators of underground injection wells are found in 40 CFR Part 148.

11.A.3. UNITED STATES IMPORTER OF HAZARDOUS WASTE

Place an **“X”** in the **“Y”** box if you import hazardous waste from a site located in a foreign country into the U.S. Refer to 40 CFR 262.10(e) and 40 CFR 262.84 for additional information. Otherwise, place an **“X”** in the **“N”** box.

11.A.4. RECOGNIZED TRADER

Place an **“X”** in the **“Y”** box if you are a recognized trader, defined in 40 CFR 260.10 as a person domiciled in the United States, who acts to arrange and facilitate transboundary movements of wastes destined for recovery or disposal operations, either by purchasing from and subsequently selling to United States and foreign facilities, or by acting under arrangements with a United States waste facility to arrange for the export or import of the waste. Otherwise, place an **“X”** in the **“N”** box. Mark all that apply.

- a. Importer**
- b. Exporter**

11.A.5. IMPORTER/EXPORTER OF SPENT LEAD-ACID BATTERIES (SLABS) UNDER 40 CFR PART 266 SUBPART G

Place an “X” in the “Y” box if you are an importer or exporter of spent lead-acid batteries (SLABs) being managed domestically under 40 CFR 266 Subpart G to obtain an EPA Identification number (see 40 CFR 266.80(a)(6), (8) - (10)). Otherwise, place an “X” in the “N” box. Mark all that apply.

- a. Importer
- b. Exporter

11.B UNIVERSAL WASTE ACTIVITIES

Refer to your State-specific requirements and definitions for universal waste. Also, refer to 40 CFR 261.9 and 40 CFR Part 273 for the Federal regulations covering universal waste. **Complete parts 1 and 2.**

11.B.1 LARGE QUANTITY HANDLER OF UNIVERSAL WASTE (LQHUW)

You are a Large Quantity Handler of Universal Waste (LQHUW) if you accumulate a total of 5,000 kg or more total of universal wastes (batteries, pesticides, mercury-containing equipment, or lamps – calculated collectively) at any time. This designation is retained through the end of the calendar year in which the 5,000 kg limit is met or exceeded. Place an “X” in the “Y” box, then place an “X” in the appropriate box(es) to indicate the type(s) of universal wastes managed at your site. Otherwise, place an “X” in the “N” box. If your State has other additional universal wastes, indicate what they are by placing an “X” in the corresponding box(es) (11.B.1.e - g).

11.B.2 DESTINATION FACILITY FOR UNIVERSAL WASTE

Place an “X” in the “Y” box if you treat, dispose, or recycle universal wastes on-site. Otherwise, place an “X” in the “N” box. A hazardous waste permit is required if you treat or dispose of universal wastes; a permit may be required if you recycle universal wastes.

NOTE	If your site, in addition to being a destination facility for universal wastes, is also a TSDF for RCRA hazardous wastes, place an “X” in the “Y” box for both this and Item 10.A.4. In addition, if your site recycles RCRA hazardous wastes, Place an “X” in the “Y” box for both this and Item 10.A.6.
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11.C. USED OIL ACTIVITIES

Place an “X” in the appropriate box(es) to indicate which used oil management activities are taking place at this site. Otherwise, place an “X” in the “N” box. The Federal regulations for used oil management are found in 40 CFR Part 279. Also, the facility should check with its State to find out if there are additional State-specific reporting requirements for used oil activities. **Complete all parts 1 through 4.**

11.C.1. USED OIL TRANSPORTER

Place an "X" in the "Y" box, then place an "X" in all that apply. Otherwise, place an "X" in the "N" box.

a. Transporter

You transport used oil within the U.S. The Federal regulations for used oil transporters are found in 40 CFR 279.40-47.

b. Transfer Facility (at your site)

You own or operate a used oil transfer facility. The Federal regulations for used oil transfer facilities are found in 40 CFR 279.40-47.

11.C.2. USED OIL PROCESSOR AND/OR RE-REFINER

Place an "X" in the "Y" box, then place an "X" in all that apply. Otherwise, place an "X" in the "N" box.

a. Processor

You process used oil. The Federal regulations for processors of used oil are found in 40 CFR 279.50-59.

b. Re-refiner

You refine used oil. The Federal regulations for re-refiners of used oil are found in 40 CFR 279.50-59.

11.C.3. OFF-SPECIFICATION USED OIL BURNER

Place an "X" in the "Y" box, to indicate that you are conducting this used oil management activity. Otherwise, place an "X" in the "N" box.

11.C.4. USED OIL FUEL MARKETER

Place an "X" in the "Y" box, then place an "X" in all that apply. Otherwise, place an "X" in the "N" box.

a. Marketer Who Directs Shipment of Off-Specification Used Oil to Off-Specification Used Oil Burners

You are a marketer who directs shipment of off-specification used oil to off-specification used oil burners. The Federal regulations for used oil fuel marketers are found in 40 CFR 279.70-75.

b. Marketer Who First Claims the Used Oil Meets the Specification

You are the first to claim that used oil meets the used oil specifications established in 40 CFR 279.11.

NOTE

If either of these boxes is marked, you must also notify (or have previously notified) as a used oil transporter (11.C.1), used oil processor/re-refiner (11.C.2), or off-specification used oil fuel burner (11.C.3), unless you are a used oil generator. (Used oil generators are not required to notify.)

ITEM 12 – ELIGIBLE ACADEMIC ENTITIES WITH LABORATORIES**NOTE**

40 CFR 262, Subpart K must be in effect in your State in order to report as an eligible academic entity with laboratories. See EPA's website for more information about these regulations at <http://www2.epa.gov/hwgenerators/regulations-hazardous-waste-generated-academic-laboratories>

Subpart K is an optional alternative set of requirements for eligible academic entities with laboratories. Certain generators (i.e., eligible academic entities as defined in 40 CFR 262.200) are eligible to operate under Subpart K for management of their hazardous wastes in laboratories in lieu of 40 CFR 262.15 (or 40 CFR 262.14 for VSQGs). Eligible academic entities with laboratories that generate hazardous waste that elect to opt into Subpart K, are currently operating under Subpart K, or subsequently withdraw from Subpart K must complete this section to meet the notification requirements of this Subpart. Refer to 40 CFR 262.203 and 40 CFR 262.204.

NOTE

Eligible academic entities with laboratories must complete a separate Site ID Form for each site (i.e., EPA Identification Number) that is managing hazardous waste under Subpart K. All laboratories with the same EPA Identification Number will be regulated under this Subpart. If eligible academic entities with laboratories withdraw from Subpart K, all laboratories with the same EPA Identification Number associated with the withdrawal from Subpart K will be regulated under 40 CFR 262.15 (or 40 CFR 262.14 for VSQGs).

12.A OPTING INTO OR CURRENTLY OPERATING UNDER 40 CFR PART 262, SUBPART K FOR THE MANAGEMENT OF HAZARDOUS WASTES IN LABORATORIES

Place an "X" in the "Y" box, if you are an eligible academic entity and you elect to opt into or are currently operating under 40 CFR 262, Subpart K for the hazardous wastes generated in your laboratories. Otherwise, place an "X" in the "N" box. If you mark "Y" for this box, you must place an "X" in at least one of the following to indicate your type of eligible academic entity. **Place an "X" in all that apply:**

a. College or University

You are an eligible college or university if you are a private or public, post-secondary, degree-granting, academic institution, that is accredited by an accrediting agency listed annually by the U.S. Department of Education.

b. Teaching Hospital that is owned by or has a formal written affiliation agreement with a college or university

You are an eligible teaching hospital if you are a hospital that trains students to become physicians, nurses, or other health personnel and is either: (1) owned by a college or university, or (2) has a master affiliation agreement and program letter of agreement, as defined by the Accreditation Council for Graduate Medical Education, with an accredited medical program or medical school.

c. Non-profit Institute that is owned by or has a formal written affiliation agreement with a college or university

You are an eligible non-profit institute if you are an organization that conducts research as its primary function and files as a non-profit organization under the tax code of 26 U.S.C. 501(c)(3) and is either: (1) owned by a college or university, or (2) has a formal written affiliation agreement with a college or university that establishes a relationship between institutions for the purposes of research and/or education and is signed by authorized representatives from each institution. A relationship on a project-by-project or grant-by-grant basis is not considered a formal written affiliation agreement.

12.B WITHDRAWING FROM 40 CFR 262, SUBPART K FOR THE MANAGEMENT OF HAZARDOUS WASTES IN LABORATORIES

Place an "X" in the "Y" box, if you have previously elected to opt into 40 CFR Part 262, Subpart K and are now withdrawing from participation in this optional set of alternative requirements for hazardous waste generation in laboratories. Withdrawing generators will automatically revert to regulation under 40 CFR 262.15 requirements (or 40 CFR 262.14 for VSQGs). If marking "Y" for this box, please include comments in Item 18 – Comments that explain your reasons for withdrawing from Subpart K. Otherwise, **place an "X" in the "N" box**.

ITEM 13 – EPISODIC GENERATION

Place an "X" in the "Y" box, if you are a VSQG or SQG notifying that you are taking advantage of the episodic generator event provision in 40 CFR 262.232. This provision allows a VSQG or an SQG to generate additional quantities of hazardous waste—temporarily exceeding its normal generator category limits—and still maintain its existing generator category, provided it complies with the specified conditions identified in 40 CFR 262.232 (a) and (b). Otherwise, **place an "X" in the "N" box**.

NOTE If you mark "Y," you must fill out the Addendum to the Site ID Form: Episodic Generator.

The generator may use this provision once per calendar year with the ability to petition for a second event. However, if the first event is planned, the petition must be for a second event that is unplanned, or vice-versa. It is recommended you review the regulation at 40 CFR 262.233 to understand what is required of a generator should you choose to take advantage of this petition process.

Although not inclusive, examples of planned episodic events include tank cleanouts, short-term construction projects, short-term site remediation, equipment maintenance during plant shutdowns, removal of excess chemical inventories, and site and production process decommissions by a new operator. Unplanned episodic events, which EPA expects would be less frequent, include production process upsets, product recalls, accidental spills, or "acts of nature," such as a tornado, hurricane, or flood. If you are taking advantage of this provision, you must complete the Addendum to the Site Identification Form for Episodic Generation. Information to be completed includes:

- the type of episodic event (i.e., planned or unplanned),
- the name and telephone number of an emergency contact at the site,

- the beginning or start date of the episodic event, and expected completion date (no later than 60 days from beginning date),
- the reason for the episodic event (event description),
- identification of the applicable federal waste codes (and state waste codes, if applicable), and
- the estimated total quantity of hazardous wastes that will be generated as a result of the episodic event.

ITEM 14 – LQG CONSOLIDATION OF VSQG HAZARDOUS WASTE

The 2016 Hazardous Waste Generator Improvements Final Rule allows LQGs to receive and consolidate hazardous wastes from VSQGs if the VSQGs are under the control of the same “person” as defined in 40 CFR 260.10.

NOTE	<p>“Control,” for the purposes of this section, means the power to direct the policies of the generator, whether by the ownership of stock, voting rights, or otherwise. Contractors who operate generator facilities on behalf of a different person as defined in 40 CFR 260.10 are not be deemed to “control” such generators.</p> <p>If you mark “Y,” you must fill out the Addendum to the Site ID Form: LQG Consolidation of VSQG Hazardous Waste.</p>
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Place an “X” in the “Y” box, if you are an LQG taking advantage of the provision found at 40 CFR 262.17 (f), you must notify (or re-notify) EPA or your authorized State. Otherwise, place an “X” in the “N” box. Information to be completed for each VSQG you are receiving hazardous waste from including:

- EPA Identification number (if applicable),
- the site name,
- address,
- contact name, and
- telephone number.

ITEM 15 – NOTIFICATION OF LQG SITE CLOSURE FOR A CENTRAL ACCUMULATION AREA (CAA) OR ENTIRE FACILITY

The 2016 Hazardous Waste Generator Improvements Final Rule requires LQGs to notify EPA no later than 30 days prior to closing their facility. They must also notify EPA within 90 days after closing the facility and having complied with the closure performance standards of 40 CFR 262.17 (a)(8) (iii) or 40 CFR 262.17 (a)(8)(iv), or notify EPA that they cannot meet the closure performance standards. Optionally, an LQG may notify that they are closing a central accumulation area.

Place an “X” in the “Y” box, then complete the appropriate boxes: 15.A – D. Otherwise, place an “X” in the “N” box.

15.1 CENTRAL ACCUMULATION AREA (CAA) OR ENTIRE FACILITY

Indicate if you are closing a central accumulation area or are closing the entire facility.

15.2 EXPECTED CLOSURE DATE

Provide the date (mm/dd/yyyy) that you expect to close the CAA or the entire facility.

15.3 REQUESTING NEW CLOSURE DATE

If you cannot complete the closure of your facility (or central accumulation area) within 90 days of starting the closure process, indicate the new closure date (mm/dd/yyyy). Explain in Item 18 - Comment why you are requesting the additional time.

15.4 DATE CLOSED

Provide the date (mm/dd/yyyy) that the facility or CAA closed.

a. In compliance with the closure performance standards 40 CFR 262.17(a)(8):

Indicate if the facility or CAA closed in compliance with the closure performance standards in 40 CFR 262.17(a)(8).

b. Not in compliance with the closure performance standards 40 CFR 262.17(a)(8):

Indicate if the facility or CAA closed but failed to meet closure performance standards in 40 CFR 262.17(a)(8).

ITEM 16 – NOTIFICATION OF HAZARDOUS SECONDARY MATERIAL (HSM) ACTIVITY

NOTE

40 CFR 260.42 must be in effect in your State in order to manage hazardous secondary material under these regulations.

16.A NOTIFICATION OF MANAGEMENT OF HAZARDOUS SECONDARY MATERIAL

Place an "X" in the "Y" box if you are notifying under 40 CFR 260.42 that you will begin managing, are still managing, or will stop managing hazardous secondary material under 40 CFR 260.30, 40 CFR 261.4(a)(23), (24), or (27). Otherwise, place an "X" in the "N" box.

NOTE

If you mark "Y," you must fill out the Addendum to the Site ID Form: Notification of Hazardous Secondary Material Activity.

16.B NOTIFICATION OF RECYCLING OF HAZARDOUS SECONDARY MATERIAL

Place an **"X"** in the **"Y"** box if you are submitting a legitimate recycling notification under 40 CFR 260.43(a)(4)(iii). Recycling of hazardous secondary materials for the purpose of the exclusions or exemptions from hazardous waste regulations must be legitimate. You must notify EPA if the product of your recycling process has levels of hazardous constituents that are not comparable to a legitimate product or intermediate, but the recycling is still legitimate. The recycling can be shown to be legitimate based on lack of exposure from toxics in the product, lack of the bioavailability of the toxics in the product, or other relevant considerations which show that the recycled product does not contain levels of hazardous constituents that pose a significant human health or environmental risk. Documentation of legitimacy must be maintained on-site for three years. Provide additional information regarding your recycling in Item 18 - Comments. Otherwise, **place an "X" in the "N" box**. Notification is not required if the product of the recycling process is comparable to a legitimate product or intermediate per 40 CFR 260.43(a)(4)(i) or (ii).

ITEM 17 – ELECTRONIC MANIFEST BROKER

Place an **"X"** in the **"Y"** box if you are a person as defined in 40 CFR 260.10 that elects to use the electronic manifest system to obtain, complete and transmit an electronic manifest format supplied by the EPA electronic manifest system under a contractual relationship with a hazardous waste generator. Otherwise, **place an "X" in the "N" box**.

ITEM 18 – COMMENTS

Use this section as needed to provide additional information for Items 1 through 17. Include the item number and box letter (if any) for each comment you make. You may attach additional sheets if needed. Remember to include your EPA Identification Number on the top of each page.

ITEM 19 – CERTIFICATION

This certification must be signed and dated by the generator(s), owner(s), operator(s), or authorized representative(s) of the site. See 40 CFR 270.11 for more information on signatories in general. An "authorized representative" is a person responsible for the overall operation of the site or an operational unit (i.e., a plant manager or superintendent, or a person of equivalent responsibility). To qualify as an "authorized representative," generator, owner, operator, or responsible official must submit a written authorization to the Director in an authorized state or the EPA Regional Director in non-authorized states.

NOTE	All Site ID Form submissions must include this certification to be complete.
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